

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Gerhard Niedermair et al. DOCKET NO: P99,0100  
 SERIAL NO: 09/254,242 GROUP NO:  
 DATE FILED: March 2, 1999 EXAMINER:  
 INVENTION: **SPEECH PROCESSING SYSTEM AND METHOD**



Assistant Commissioner for Patents,  
 Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

According to 37 C.F.R. 1.97(b)

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and the requirements of 37 C.F.R. 1.98, Applicant respectfully requests that a citation and examination of the references identified on the attached PTO 1449 form be made during the course of examination of the above-identified application for United States Patent.

The present Information Disclosure Statement is being filed according to 37 C.F.R. 1.97(b) and before the latter occurrence of:

- (1) three months from the filing date of a national application;
- (2) three months from the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application; or
- (3) the mailing date of a first Office Action on the merits.

**REMARKS**

The following references are listed on the PTO 1449 form enclosed herewith. These two references were cited and discussed in the specification of the present invention.

Caspari, Rudolf, 1993, Bottom Up Generation from Flat Semantic Structures, Proc. Dekalarative und prozedurale Aspekte der Sprachverarbeitung, Deutsche Gesellschaft für Sprachverarbeitung, Sektion Computerlinguistik, 4<sup>th</sup> Technical Conference, pages 1-6; and

Naumann, Seve et al, 1994, Parsing, Stuttgart, Teubner, 1<sup>st</sup> edition, ISBN 3-519-02139-0, pages 155-166.

The documents may have markings thereon, however, no significance is meant to be attached to the markings.

The above citation of prior art is not a representation that such art constitutes a complete or exhaustive listing of all pertinent prior art, nor that it necessarily includes the closest or most relevant art. The aforementioned citation comprises a voluntary citation of prior art of which applicant and his attorney are presently aware and is not intended to serve as a substitute for the Examiner's own search.

Respectfully submitted,



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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 31, 1999.



Attorney for Applicants